

April 13, 2024

To,

BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai - 400 001 Maharashtra, India <u>Scrip Code: 544057</u>	National Stock Exchange of India Ltd. Exchange Plaza, 5 <sup>th</sup> Floor, Plot No. C/1, G Block, Bandra-Kurla Complex, Bandra(E), Mumbai- 400 051 Maharashtra, India <u>Symbol: HAPPYFORGE</u>
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**Sub : Certificate under Regulation 40(9) and 40 (10) of the SEBI ( Listing Obligations and Disclosure Requirements ) Regulations, 2015 for the year ended 31<sup>st</sup> March 2024**

Dear Ma'am/ Sir,

Pursuant to Regulation 40(9) and 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed Certificate, received from M/s P S Bathla & Associates , the Practicing Company Secretaries, Ludhiana under Regulation 40(9) of SEBI (LODR) for the financial year ended 31st March,2024.

We request you to kindly take the same on record.

Thanking you.

Yours faithfully,  
For Happy Forgings Limited

Bindu Garg  
Company Secretary &  
Compliance Officer  
M.N. F6997  
Address: B-XXIX-2254/1, Kanganwal Road,  
P O Jugiana,  
Ludhiana ( Pb ) -141120  
Enclosed as above



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Regd Office

BXXIX-2254/1, Kanganwal Road,  
P. O. Jugiana, Ludhiana, Punjab,  
CIN U28910PB1979PLC004008

India – 141120

**P. S. BATHLA & ASSOCIATES**  
**Company Secretaries**  
**S.C.O. -6, 3<sup>rd</sup> Floor**  
**Feroze Gandhi Market, Ludhiana-141001**  
**Ph. 0161-4416791**

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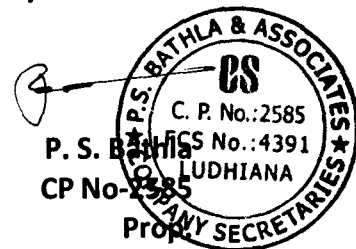
**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF  
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**  
**FOR THE FINANCIAL YEAR ENDED MARCH, 2024**

I have examined all relevant Registers, Records, files and other documents relating to **M/s HAPPY FORGINGS LIMITED** maintained by the Registrar and Transfer Agent (RTA) i.e. Link Intime India Pvt. Ltd. pertaining to the issue of share certificates for transfer/transmission of equity shares of the Company for the period 28.12.2023 to 31.03.2024, for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and its Registrar and Share Transfer Agent, I hereby certify that during the Financial year ended 31<sup>st</sup> March 2024:

- There was no lodgement of any request for transfer, transmission, sub-division, consolidation, split, renewal, duplicate, exchange of certificates, and endorsement for payment of calls/allotment monies.

For P. S. Bathla & Associates  
Company Secretaries

Place: Ludhiana  
Dated: 13<sup>th</sup> April, 2024  
UDIN: F004391F000113830  
Peer Review No. 1306/2021



**NOTE: - The Company got listed its Securities w.e.f. 27<sup>th</sup> December 2023.**